



Banc Ceannais na hÉireann
Central Bank of Ireland

Eurosystem

Registration Number: C 134810

Please find attached as requested;

☒ An Amended Statement of Authorisation under the Investment Intermediaries Act 1995 (as amended) ('the IIA')

☒ An Amended Certificate of Registration under the European Communities (Insurance Mediation) Regulations 2005 ('the IMR')

☒ Amended Statement(s) of Mortgage Intermediary Status under the Consumer Credit Act 1995 (as amended) ('the CCA')

The amendment you requested has been included:

☐ Address

☒ Trading Name

☐ Investment Instruments under the IIA

☐ Legal Name

☐ Investment Services under the IIA

☐ Person/Persons responsible for insurance mediation

☐ Mortgage Intermediary Appointment/Cancellation

☐ No Change (Replacement Statement/Certificate)

Please shred your old Statements/Certificate if you have not already done so.

If you have any queries please contact postauthorisations@centralbank.ie

Catherine Dillon
Consumer Protection - Policy & Authorisations

Retail Intermediaries

29 MAY 2015



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Statement of Authorised Status

Rockcourt Financial Services Limited ('the firm') (Ref. No. C134810) is authorised as an investment business firm under Section 10 of the Investment Intermediaries Act, 1995 (as amended), to provide the services of an investment intermediary.

Rockcourt Financial Services Limited is authorised to receive and transmit orders to product producers from whom a written letter of appointment is held, in relation to:

1. Relevant collective investment scheme instruments
2. Insurance policies
3. Personal Retirement Savings Accounts (within the meaning of the Pensions Act, 1990) ('PRSAs')

Rockcourt Financial Services Limited is also authorised to act as a deposit broker and to give advice in relation to deposits.

When receiving and transmitting orders in relation to insurance policies and/or PRSAs, the firm may only accept cash or cheques/bank drafts from clients payable to itself where:

- (i) an insurance undertaking has invited renewal of a policy of insurance, or
- (ii) a proposal for insurance and/or PRSAs has been accepted by an insurance undertaking.

In all other circumstances cheques/bank drafts or other payment instruments must be made payable to the product producer.

For and on behalf of the Central Bank of Ireland:

Signed: Catherine Dillon

Signed: Sam Hanna

Retail Intermediaries

29 MAY 2015



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Certificate of Registration

Reference No. C134810

Rockcourt Financial Services Limited

Main Street
Glenamaddy
via Castlerea
Co Galway

Rockcourt Financial Services Limited is registered to undertake insurance mediation under the European Communities (Insurance Mediation) Regulations, 2005 in respect of:

Life and General Insurance Policies

(Please see the insurance mediation register at <http://registers.centralbank.ie/>)

Director and/or Manager responsible for the insurance mediation business:

Colm O'Flaherty

For and on behalf of the Central Bank of Ireland:

Signed: Catherine Dillon

Signed: Eoin Harahan





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Reference No: C134810

The Central Bank of Ireland, in accordance with the provisions of Section 116 of the Consumer Credit Act, 1995 (as amended) and Section 33C of the Central Bank Act, 1942 (as amended) hereby authorises **Rockcourt Financial Services Limited** whose principal place of business is:

Main Street, Glenamaddy, via Castlerea, Co Galway

to engage in the business of being a Mortgage Intermediary under the name(s) of:

Rockcourt Financial Services Limited

on behalf of the following undertaking(s):

PIBA Network Services Limited

This authorisation is valid for a period of 10 Years commencing on 18 February 2015

For and on behalf of the Central Bank of Ireland:

Signed: Catherine Dillon

Signed: Sean Hawke

Retail Intermediaries

29 MAY 2015